

Corporate Risk Management Annual Report 2017/18

Report of the Chief Fire Officer

For further information about this report please contact Rod Hammerton, Chief Fire Officer, on 01743 260204, Ged Edwards, Planning, Performance and Communications Manager, on 01743 260208.

1 Purpose of Report

This report informs Members about the corporate risk management work that has been undertaken during the previous twelve months.

2 Recommendations

The Fire Authority is asked to note this report.

3 Background

Ongoing monitoring of the Service's corporate risk management work is a responsibility of the Service Management Team (SMT) and Risk Management Group (RMG). In turn the Audit and Performance Management Committee (APMC) receive regular corporate risk management reports.

However, risk management best practice guidance also indicates that the full Fire Authority should receive a summary report at least annually.

4 Local Government Act 1972, Schedule 12A Reporting Exemptions

There is an exempt risk on the Corporate Risk Register. Details of this risk are provided in an exempt paper and will be covered in the closed session of the meeting.

5 Risk Management Progress

This section includes information about all events that have led to the current status with the Authority's corporate risk management system.

July 2017

APMC agreed the Annual Governance Statement (AGS) and Improvement Plan for inclusion in the 2016/17 Statement of Accounts. The committee also received a corporate risk summary report.

A new risk was added to the Corporate Risk Register regarding the potential interruptions of service to the command and control system during the changeover of the Wide Area Network (WAN) to the new provider.

A new risk was added to the Corporate Risk Register relating to service disruption in the event of a cyber-attack.

August 2017

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

A new risk was added to the Corporate Risk Register around investigating options open to the Service for the potential replacement of Payroll, Training, Human Resources and Finance systems.

September 2017

APMC received an update on progress against the AGS Improvement Plan and a corporate risk summary report.

November 2017

A new risk was added to the Corporate Risk Register regarding the potential withdrawal of maintenance support for the Integrated Communications Control System (ICCS).

December 2017

APMC received updates on the AGS Improvement Plan and a corporate risk summary report.

The risk relating to the changeover of the WAN provider was closed on the Corporate Risk Register.

Fire Authority received a corporate risk summary report.

January 2018

Internal Audit carried out its annual review of the Service's risk management and business continuity processes.

March 2018

Internal Audit's assessment of the Service's risk management and business continuity process are classified 'Good'.

April 2018

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

APMC received an update on progress against the AGS Improvement Plan, RMG Internal Audit progress monitoring report and a corporate risk summary report.

The risk relating to uncertainty surrounding the Police and Crime Commissioner was closed on the Corporate Risk Register.

May 2018

The risk relating to the uncertainty surrounding the Police and Crime Commissioner has been re-opened and added back onto the Corporate Risk Register.

Over the last twelve months the Corporate Risk Register has been reviewed by SMT at each of its monthly meetings.

6 Overall Summary

There are currently twelve open risks on the Corporate Risk Register, which includes four new risks and one re opened risk added during this reporting year.

7 Financial Implications

There are no direct financial implications associated with this report.

8 Legal Comment

There are no legal implications associated with this report.

9 Risk Monitoring

When considering this work stream officers have reviewed Brigade Order 3 Part 2 Risk Management Protocol and have determined that there are no direct risk implications, as this is purely a risk management summary update.

10 Initial Impact Assessment

This report contains merely statements of fact / historical data. An Initial Impact Assessment is not, therefore, required.

11 Equality Impact Assessment

This report contains merely statements of fact / historical data. An Equality Impact Assessment is not, therefore, required.

12 Appendices

Appendix A

Summary Corporate Risk Register

Appendix B

Paper 19 Exempt Section of Corporate Risk Register

13 Background Papers

There are no background papers associated with this report.

Corporate Risk Register

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Policy	Ged Edwards	13/3/2013	Maintaining Business Continuity will ensure that the Service continues to deliver its core functions should it experience a business interruption from internal or external influences.	2	2	4	→	Ged Edwards
Information	Ged Edwards	01/4/2012	The provision of accurate management information is dependent upon the adoption of high quality data management principles.	2	2	4	→	Ged Edwards
Service Delivery	Internal Audit	21/12/2011	The Service has various contracts with suppliers which if were to fail or be impacted by poor performance could have a detrimental impact on the delivery of key service streams/functions.	3	3	9	→	Andrew Kelcey
Information	Sally Edwards	01/1/2012	To ensure the maximum availability of the Command & Control system as a mission critical function of the Service.	2	3	6	→	Sally Edwards
Information	Sally Edwards	01/8/2012	Provide robust and resilient hardware and communications platforms to ensure availability of electronic systems to support service delivery.	2	3	6	→	Sally Edwards

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
People	Risk Management Group	17/3/2009	If the "opt-out" option, the UK currently holds from the European Working Time Directive is removed, then this could have an impact on the availability of RDS staff.	2	1	2	→	Germaine Worker
Information	Rod Hammerton	30/9/2015	There is a risk that the Emergency Services Mobile Communications Project (ESMCP) will not deliver in time for when the Airwave contract expires in 2020. There will be no further extensions in the contract. There is also a risk that the level of coverage across Shropshire will not be available as it is based upon 4G which is currently not available in Shropshire.	2	2	4	↓	Sally Edwards
Policy	Fire Authority Members	17/3/2016	The uncertainty surrounding changes in legislation for the Policing and Crime Bill 2016 (Duty to collaborate and potential for a change in governance) could impact upon the Service's ability to effectively undertake long term planning and the delivery of strategy.	3	3	9	→	Rod Hammerton
Finance	Joanne Coadey	23/9/2015	Reduction in government funding - insufficient income to cover Service revenue budget'	3	2	6	→	Joanne Coadey
Information	Ged Edwards	24/8/2017	The tight timescales for the replacement of the HR, Payroll, Finance and Training systems may result in less system functionality in the short term than is currently available at present.	3	3	9	→	Ged Edwards

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Chris Mellings	19/7/2017	ICT is crucial for public service delivery and this imposes a risk of disruption or damage to the reputation of SFRS in the event of a failure of its information technology systems as a result of a cyber-attack.	2	3	6	→	Sally Edwards
Service Delivery	Ged Edwards	17/11/2017	With little notice period the system supplier has informed the Service that the current Integrated Communications Control System (ICCS) will no longer be maintained beyond December, as it becomes obsolete. In the event of a system failure after this date, the Service may be unable to restore the ICCS system.	3	3	9	→	Sally Edwards

Key to Direction of Travel:

- shows that the general direction of the risk is unchanged
- ↓ shows that the general direction of the risk is reducing
- ↑ shows that the general direction of the risk is increasing